

The Personal Data (Privacy) Ordinance – Personal Information Collection (Customers) Statement

In compliance with the Personal Data (Privacy) Ordinance (“the Ordinance”), East Asia Futures Limited (“EAF”) would like to inform you of the following:

- (1) From time to time, it is necessary for customers to supply EAF with data in connection with the opening or continuation of accounts and the establishment or continuation of financial services provided by EAF.
- (2) Failure to supply such data may result in EAF being unable to open or continue accounts or establish or continue financial services provided by EAF.
- (3) It is also the case that data are collected from customers in the ordinary course of the continuation of the financial relationship, for example, when customers generally communicate verbally or in writing with EAF, by means of documentation or telephone recording system, as the case may be.
- (4) The purposes for which data relating to a customer may be used are as follows:
 - (i) the daily operation of the services provided to customers;
 - (ii) conducting credit checks at the time of trading and at the time of regular or special reviews which normally will take place one or more times each year;
 - (iii) ensuring ongoing credit worthiness of customers;
 - (iv) marketing the following services and products (in respect of which EAF may or may not be remunerated):
 - (1) financial and related services and products;
 - (2) reward, loyalty or privileges programmes and related services and products;
 and these services or products may be provided and/or marketed by:
 - (1) EAF or The Bank of East Asia, Limited and its group companies;
 - (2) third party securities and investment services providers; and
 - (3) third party reward, loyalty or privileges programme providers
 - (v) verifying the data/information provided by any other customer or third party;
 - (vi) determining amounts owed to or by customers;
 - (vii) collection of amounts outstanding from customers and those providing security for customers’ obligations;
 - (viii) meeting the requirements to make disclosure under the requirements of any law binding on EAF or under and for the purposes of any guidelines issued by regulatory or other authorities with which EAF is expected to comply;
 - (ix) enabling an actual or proposed assignee of EAF, or participant or sub-participant of EAF’ rights in respect of the customer to evaluate the transaction intended to be the subject of the assignment, participation or sub-participation; and
 - (x) purposes relating thereto.
- (5) Data held by EAF relating to a customer will be kept confidential but EAF may provide such information to the following parties for the purposes set out in paragraph (4):-
 - (i) any agent, contractor or third party service provider who provides administrative, telecommunications, computer, payment or clearing or other services to EAF in connection with the operation of its business;
 - (ii) any other person under a duty of confidentiality to EAF including a group company of The Bank of East Asia, Limited which has undertaken to keep such information confidential;
 - (iii) any person to whom EAF is under an obligation to make disclosure under the requirements of any law binding on EAF or under and for the purposes of any guidelines issued by regulatory or other authorities with which EAF is expected to comply;
 - (iv) any actual or proposed assignee of EAF or participant or sub-participant or transferee of EAF’ rights in respect of the customer; and
 - (v) (1) The Bank of East Asia, Limited and its group companies;
(2) third party securities and investment services providers;
(3) third party reward, loyalty and privileges programme providers; and
(4) external service providers (including but not limited to mailing houses, telecommunication companies, telemarketing and direct sales agents, call centres, data processing companies and information technology companies) that EAF engages for the purposes set out in paragraph (4)(iv).

Such information may be transferred to a place outside Hong Kong.
- (6) In accordance with the terms of the Ordinance, EAF has the right to charge a reasonable fee for the processing of any data access request.
- (7) The person to whom requests for access to data or correction of data or for information regarding EAF’ Privacy Policy Statement and kinds of data held are to be addressed is as follows:

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| The Data Protection Officer | Telephone | : (852) 3608-8180 |
| East Asia Futures Limited | Fax | : (852) 3608-6132 |
| 6/F, The Bank of East Asia Building | Website | : http://www.eafutures.com.hk |
| 10 Dec Voeux Road Central, Hong Kong | | |
- (8) Customers may, at any time, request EAF cease using their personal data for direct marketing purposes by writing to the Data Protection Officer at the address or fax number provided in paragraph (7).
- (9) After closure of account/termination of service, EAF shall continue to hold data relating to the customer(s) for a period of 7 years or such other period as prescribed by applicable laws and regulations.
- (10) Nothing in this statement shall limit the rights of customers under the Personal Data (Privacy) Ordinance.